# Spanning closed walks and TSP in 3-connected planar graphs

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## ABSTRACT

In this paper, we prove the following theorem, which is motivated by two different contexts independently, namely graph theory and combinatorial optimization.

Given a circuit graph (which is obtained from a 3-connected planar graph by deleting one vertex) with n vertices, there is a spanning closed walk W of length at most 4(n-1)/3 such that each edge is used by W at most twice.

Our proof is constructive (and purely combinatorial) in the sense that there is an  $O(n^2)$ -time algorithm to construct such a walk in a given 3-connected planar graph. We shall construct an example that shows that the upper bound 4(n-1)/3 is essentially tight. We also point out that 2-connected planar graphs may not have such a walk, as  $K_{2,n-2}$  shows.

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# 1 Introduction

Finding a Hamiltonian cycle is arguably one of the most popular subjects in graph theory. There are several sufficient conditions for a graph to have a Hamiltonian cycle. Note that some of the sufficient conditions are mentioned in [8]. Finding a Hamiltonian cycle is also one of the central problems in combinatorial optimization, since it is directly connected to the Traveling Salesman Problem.

A study on Hamiltonian cycles was started with the connection to the Four Color Problem (now Theorem). It had been conjectured since 1880's that every 3-connected cubic planar graph is Hamiltonian, and if true, it would imply the Four Color Problem. However, Tutte [27] in 1946 constructed a counterexample, and in [28], he proved that every 4-connected planar graph is Hamiltonian. As we see here, a study on Hamiltonian cycles for planar graphs is historically one of the central topics in graph theory. In the last decade, a Hamiltonian cycle in planar graphs has also been studied in graph algorithm ([17], for example), because of its connection to the Traveling Salesmen Problem.

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In this paper, we consider the following problem, which is motivated by two different contexts independently, namely graph theory and combinatorial optimization. A spanning closed walk of a graph is a walk that visits all vertices of the graph and turns back to the starting vertex. Sometimes a spanning closed walk is called a *Hamiltonian walk*. The *length* of a spanning closed walk is the total number of transits of edges. Note that a spanning closed walk can use an edge many times, and we count such an edge twice or more for the length. A Hamiltonian cycle of a graph G is equivalent to a spanning closed walk of length exactly |G|.

In a 3-connected planar graph G with n vertices, is there a spanning closed walk W of length at most 4n/3?

Indeed, we shall show that the answer of this problem is true, and in Section 5, we also show that the bound 4n/3 is essentially tight. We now clarify why this problem is considered independently both in graph theory and combinatorial optimization.

#### 1.1 Hamiltonian cycles and spanning closed walks in 3-connected planar graphs

In 1956, Tutte [28] proved that every 4-connected planar graph is Hamiltonian. of Tutte. For a short proof, see Thomassen [26]. Thomas and Yu [25] extended Tutte's theorem to the projective planar case. This result cannot be extended to 3-connected planar graphs since there exist planar triangulations G on n vertices such that any longest cycle in G is of length  $O(n^{\alpha})$ , where  $\alpha = \log 2/\log 3 \approx 0.63$ ; see [20]. However, there are many results showing that every 3-connected planar graph has several properties close to Hamiltonicity. Here, a k-tree is a tree with maximum degree at most k, and a closed k-walk is a closed walk that visits every vertex at most k times. Note that a spanning 2-tree is exactly a Hamiltonian path, while a spanning closed 1-walk is exactly a Hamiltonian cycle. Barnette [4] proved that every 3-connected planar graph has a spanning 3-tree. Gao and Richter [10] strengthened it by showing that every 3-connected planar graph has a spanning 3-tree, see [15].) Extending the result by Barnette [4] to another direction, Nakamoto, Oda and Ota [21] proved that every 3-connected planar graph with n vertices has a spanning 3-tree in which the number of vertices of degree 3 is at most  $\max\{\frac{n-7}{3}, 0\}$ . Since a vertex visited twice in a closed 2-walk W corresponds to a vertex of degree 3 in the 3-tree corresponding to W, it is natural to think the following problem.

**Problem 1 (Nakamoto, Oda and Ota, [21])** Does every 3-connected planar graph with n vertices have a spanning closed 2-walk in which the number of vertices visited twice is at most  $\max\{\frac{n+c}{3}, 0\}$  for some constant c?

Problem 1 is still open. It is easily shown that if a graph with n vertices has a spanning closed 2-walk W of length at most 4n/3, then the number of vertices visited twice in W is at most n/3. Thus, as a step to attack Problem 1, we are interested in a spanning closed walk of short length in a 3-connected planar graph. This is our first motivation.

Furthermore, as we have seen, every 3-connected planar graph has nice properties close to Hamiltonicity. Let us observe that Tutte's theorem says that every 4-connected planar graph with n vertices has a spanning closed walk of length exactly n. So what happens if we only consider a 3-connected planar graph, and how many transits of edges are needed to cover all of the vertices by a spanning closed walk? This is also a motivation from graph theory.

## 1.2 TSP and Combinatorial Optimization

In combinatorial optimization, the well-known Traveling Salesman Problem (TSP) in metric graphs is one of the most fundamental NP-hard optimization problems. The TSP is the following; Given a complete undirected graph G with n vertices with non-negative edge costs, find a Hamiltonian cycle in G of minimum cost. When the costs satisfy the triangle inequality, we call the problem *metric*. A special case of the metric TSP is the so-called graph-TSP, where, given an undirected unweighted simple underlying graph G, the complete graph on V(G) is formed by defining the cost between two vertices as the number of edges on the shortest path between them. The weighted complete graph obtained from G is known as the *metric completion* of G.

In spite of a vast amount of research, several important questions remain open. While the problem is known to be APX-hard and NP-hard to approximate with a ratio better than 220/219 [23], untill 2011, the best upper bound was still the 1.5-approximation algorithm obtained by Christofides [5] more than three decades ago. (Recently, the better bound was shown in [12, 18].) A promising direction to improve this approximation guarantee has long been to understand the power of a linear program, which is known as the Held-Karp relaxation [14]. So we have to study the integrality gap  $\alpha(TSP)$ , which is the worst-case ratio between the optimal solution to the TSP problem and the optimal solution to its linear programming relaxation (the Held-Karp relaxation). The value  $\alpha(TSP)$ gives one measure of the quality of the lower bound provided by the Held-Karp relaxation for the TSP. Moreover, a polynomial-time constructive proof for value  $\alpha(TSP)$  would provide an  $\alpha(TSP)$ approximation algorithm for the TSP. On the other hand, the best lower bound on  $\alpha(TSP)$  is 4/3 and indeed the famous 4/3-conjecture states that this lower bound would be tight.

### Conjecture 1 (Goemans, [13]) $\alpha(TSP)$ is at most 4/3.

The ratio 4/3 is reached asymptotically by taking two disjoint triangles  $T_1$  and  $T_2$  and three pairwise disjoint paths of length n/3 joining  $V(T_1)$  and  $V(T_2)$ . Each edge in  $T_1 \cup T_2$  gets the weight 1/2, and other edges have weight 1. Then each vertex receives total weight 2. Therefore, this graph together with the assigned weight satisfies the Held-Karp relaxation. It is clear that this graph is planar. Therefore, Goemans<sup>3</sup> brought attention to Conjecture 1 and especially conjectured that for every plane triangulation with n vertices, we can find a solution to the Held-Karp relaxation whose value is at most 4n/3, and therefore the 4/3-conjecture is always true for plane triangulations. This is our second motivation.

#### 1.3 Our main results

In this paper, we answer the above problems (which are motivated independently by both graph theory and combinatorial optimization) in the affirmative. Namely;

**Theorem 2** Let G be a 3-connected planar graph with n vertices. Then G has a spanning closed walk of length at most  $\frac{4n-4}{3}$ . Moreover, given a 3-connected planar graph G, such a walk can be found in  $O(n^2)$ -time.

In Section 5, we give an example that shows that the bound  $\frac{4n-4}{3}$  is essentially tight. Theorem 2 extends an old result by Asano, Nishizeki and Watanabe [2, 3] who proved that if G is a planar triangulation with n vertices, then G has a spanning closed walk of length at most  $\frac{3n}{2}$ . (Also they [22] gave an algorithm to find such a walk.) In fact, they conjectured that a planar triangulation has a spanning closed walk of length at most  $\frac{4n}{3}$ . Theorem 2 gives an even stronger statement. We also point out that 2-connected planar graphs may not have such a walk, for example, consider  $K_{2,n-2}$ .

Let us point out that although our algorithm in Theorem 2 implies an  $O(n^2)$ -time algorithm to give a 4/3-approximation algorithm for the TSP for 3-connected planar graphs, Klein [17] gave a much better result. He gave a linear time approximation scheme  $((1 + \epsilon)$ -approximation for any  $\epsilon > 0)$  for

<sup>&</sup>lt;sup>3</sup>See "17. Maximal Planar Graphs" in http://www.math.mcgill.ca/~bshepherd/Bellairs/bellairs2007.pdf

the TSP for planar graphs. Klein's result was extended to bounded genus graphs [7] and recently to H-minor-free graphs [6]. In view of these extensions, we conjecture that Theorem 2 can be extended to the bounded genus graphs and probably to the H-minor-free graphs.

Leaving the plane to consider closed surfaces of higher genera, there are some technical difficulties. For example, the chromatic number of graphs on non-spherical closed surfaces can dramatically increase. However, for graphs which obey certain local planarity conditions, one can deduce similar properties as for planar ones. Quantitatively, we introduce the *representativity* of a graph G on a non-spherical closed surface  $\mathbb{F}^2$  as the length of a shortest curve that is noncontractible in  $\mathbb{F}^2$ , where a *noncontractible curve* on  $\mathbb{F}^2$  is a curve that does not bound a disk on  $\mathbb{F}^2$ . For a partial result in this direction on graphs on closed surfaces of bounded genus, we prove Theorem 3 concerning graphs with high representativity. Since this is not a main target of this paper, we put the proof of Theorem 3 in Appendix.

**Theorem 3** For every closed surface  $\mathbb{F}^2$  of Euler characteristic  $\chi$  with  $\chi \leq 1$ , there exists an integer r such that every 3-connected graph with n vertices on  $\mathbb{F}^2$  with representativity at least r has a spanning closed walk of length at most  $\frac{4n+2-6\chi}{3}$ .

In Section 5, we shall show that this result is also essentially tight.

Technically, we shall prove Theorem 2 by adapting the notion a *circuit graph*. In order to state our technical result which implies Theorem 2, we need some definitions that will be given in the next section.

## 1.4 Technical statement

For notation not defined in this paper, we refer to the book [8]. For a graph G, we write 2G for the multigraph obtained from G by replacing each edge of G with two multiple edges. A graph R is called *even* if each vertex of R has an even degree. It is easy to see the following:

- (1) A graph G has a spanning closed walk of length at most t if and only if G has a spanning closed walk W' of length at most t such that each edge of G is used by W' at most twice.
- (2) A graph G has a spanning closed walk W' such that each edge of G is used by W' at most twice and W' has the length at most t if and only if 2G has a spanning connected even subgraph R with  $|E(R)| \leq t$ .

These imply the following proposition.

**Proposition 4** A graph G has a spanning closed walk of length at most t if and only if the multigraph 2G has a spanning connected even subgraph R with  $|E(R)| \leq t$ .

Theorem 2 concerns 3-connected planar graphs. However, for our purpose to show Theorem 2, it turns out that 3-connectivity is too strong. We have to relax it in order to apply our induction hypothesis, see Theorem 5. Therefore, we need to define "circuit graphs".

For a 2-connected plane graph G, the *outer cycle* of G is the cycle bounding the infinite face. For the outer cycle C of a 2-connected plane graph G, a pair (G, C) is called a *circuit graph* if for every vertex x in G - V(C), there exist three paths in G such that they connect x and C and they are pairwise disjoint except for x. (A circuit graph is sometimes called an *internally 3-connected plane* graph or an *I3CP graph*.) In other words, there exists no vertex set that consists of at most two vertices and separates some vertices in G - V(C) from C. Note that for every 3-connected plane graph G, the pair (G, C) is a circuit graph, where C is the outer cycle of G. For  $u, v \in V(C)$ , we denote, by C[u, v], the subpath of C starting from u and ending at v in the clockwise order. A vertex v in a graph G is a 2-vertex if the degree of v is exactly two in G. Note that every 2-vertex of a circuit graph (G, C) is contained in C. For a positive integer i, we denote the complete graph with i vertices by  $K_i$ . Note that  $(K_3, C)$  is a circuit graph, where C is the unique cycle of  $K_3$ . For two graphs G and H, we write  $G \cong H$  if G is isomorphic to H; otherwise  $G \ncong H$ .

By Proposition 4, the following theorem implies Theorem 2. So in Section 3, we show Theorem 5 instead of Theorem 2.

**Theorem 5** Let (G, C) be a circuit graph with  $G \not\cong K_3$ . Then 2G has a spanning connected even subgraph R such that

- (R1)  $|E(R)| \le \frac{4|V(G)|-4}{3}$ ,
- (R2) every 2-vertex of G has degree two in R, and
- (R3) for every edge e connecting two 2-vertices in G, the subgraph R contains exactly one of the two edges  $e_1$  and  $e_2$  of 2G, where both  $e_1$  and  $e_2$  correspond to e in G.

In Section 5, we show that the bound  $\frac{4|V(G)|-4}{3}$  is best possible. We can easily show the following.

**Proposition 6** Let  $G \cong K_3$ . Then 2G has a spanning connected even subgraph R such that  $|E(R)| = 3 = \frac{4|V(G)|-3}{3}$ , and R satisfies conditions (R2) and (R3).

Note that Theorem 5 and Proposition 6 state that for every circuit graph (G, C), the multigraph 2G has a spanning connected even subgraph R such that (R1')  $|E(R)| \leq \frac{4|V(G)|-3}{3}$  and R satisfies conditions (R2) and (R3).

In the next section, we shall give more fundamental lemmas concerning circuit graphs.

# 2 Some preliminary lemmas for circuit graphs

As we pointed out before, our main task is to give a proof of Theorem 5. Thus we need to give several nice properties on circuit graphs. Roughly, circuit graphs have some nice recursive structure, which allows us to apply induction.

## 2.1 Lemmas concerning a circuit graph

For a circuit graph, it is easy to see the following. See, for example, [10].

**Lemma 7** Let (G, C) be a circuit graph and let C' be a cycle in G. Let G' be the graph induced by C' together with its interior edges. Then (G', C') is a circuit graph.

**Lemma 8** Let (G, C) be a circuit graph, and let u, v be two vertices of C such that  $G - \{u, v\}$  is not connected. Let D be a component of  $G - \{u, v\}$  and  $\overline{D} = G - \{u, v\} - D$ . (Note that both D and  $\overline{D}$  contains a vertex of C, by the definition of a circuit graph.) Let G' be the plane graph obtained from G by deleting  $\overline{D}$  and adding a path P from u to v so that the outer cycle C' of G' contains P and  $E(C) \cap E(G - \overline{D})$ . Then (G', C') is also a circuit graph.

A circuit graph (G, C) is *edge-minimal* if for every edge e of G, the pair (G', C') is not a circuit graph, where G' = G - e and C' is the outer cycle of G'. It follows from Lemma 7 that for an edge e in C, the pair (G', C') is not a circuit graph if and only if G' is not 2-connected.

A block in a graph H (possibly H might not be connected, or H is 2-connected) is a maximal subgraph of H that has no cut vertex. Note that every block of any graph is 2-connected or isomorphic to  $K_2$ . A block B is an *end block* of a graph H if B contains at most one cut vertex of H.

A chain of blocks in a graph H is a sequence  $B_0B_1 \cdots B_m$  such that each  $B_i$  is a block of H,  $B_i \cap B_j = \emptyset$  for  $0 \le i < j \le m$  with  $j \ne i+1$ , and  $|V(B_i \cap B_{i+1})| = 1$  for  $0 \le i \le m-1$ . So the reader can think of this chain of blocks as a block decomposition such that the abstract tree is a path. (For the definition of a block decomposition and the abstract tree, see, for example, [29].) For a block Bof a graph H, let  $I_H(B)$  be the set of vertices x in B such that x is not a cut vertex in H.

The following lemma is also obvious. (See [21].)

**Lemma 9** Let (G, C) be an edge-minimal circuit graph, and let e be an edge in C. Then the following holds;

- (i) The graph G' is a chain of blocks  $B_0B_1 \cdots B_m$  with  $m \ge 1$ , where G' = G e.
- (ii) One end vertex of e, say  $x_0$ , is contained in  $I_{G'}(B_0)$ , and the other, say  $x_{m+1}$ , is contained in  $I_{G'}(B_m)$ .
- (iii) Let  $G_0$  be the graph obtained from G by contracting  $I_{G'}(B_m)$  into one vertex, and let  $C_0$  be the outer cycle of  $G_0$ . Then  $(G_0, C_0)$  is also a circuit graph. Moreover, if  $d_G(x_0) \ge 3$ , then  $G_0 \not\cong K_3$ .

For a circuit graph (G, C), the following lemma guarantees the existence of the end block of G - V(C) with an "end" property. This can be easily shown by the planarity, so we omit the proof.

**Lemma 10** Let (G, C) be a circuit graph with  $V(G) - V(C) \neq \emptyset$ . Let H = G - V(C). Then there exist an end block  $B_0$  of H and two neighbors  $u_0$  and  $v_0$  of  $I_H(B_0)$  in C such that

- (i)  $C[u_0, v_0]$  contains all neighbors of  $I_H(B_0)$  in C, and
- (ii)  $C[u_0, v_0] \{u_0, v_0\}$  contains no neighbors of  $H I_H(B_0)$  in C.

## 2.2 An extended chain of blocks

In this subsection, we shall define an *extended chain of blocks* and its maximality, both of which plays a key role in our proof of Theorem 5. This concept is somewhat technical, but roughly speaking, we want to contract a certain part of a circuit graph (G, C) and apply the induction hypothesis. It turns out that some special property of blocks in G - V(C) (which is exactly "an extended chain of blocks") is suitable for this purpose.

Let (G, C) be a circuit graph, and let H = G - V(C). Let  $B_0$ ,  $u_0$ , and  $v_0$  be an end block of H and two neighbors of  $I_H(B_0)$  in C as in Lemma 10, respectively.

An extended chain of blocks in H for C from  $B_0$  is either (i) a chain  $B_0B_1 \cdots B_m$  of blocks or (ii) two chains  $B_0B_1 \cdots B_k$  and  $B_{k+1} \cdots B_m$  of blocks of H such that they satisfy the following conditions (B1)–(B7). See the left side of Figure 13 for (i), and the right side for (ii). Note that the outer ellipse represents the outer cycle C. Let  $\{x_{i+1}\} = V(B_i \cap B_{i+1})$  for  $0 \le i \le m-1$  with  $i \ne k$  if (ii) occurs.

- (B1) If (ii) occurs, then both  $B_k$  and  $B_{k+1}$  are end blocks of H, and  $B_k \cap B = \emptyset$  for each block B of H with  $B \neq B_k, B_{k-1}$ .
- (B2) For  $0 \le i \le m-1$ , the cut vertex  $x_{i+1}$  is contained in exactly two blocks  $B_i$  and  $B_{i+1}$  of H, unless (ii) occurs and i = k.
- (B3) For  $0 \le i \le m$ , the block  $B_i$  contains at most two cut vertices of H.



Figure 1: An extended chain of blocks of H for C from  $B_0$ .

- (B4) For  $0 \le i \le m$ , at least one of  $u_0$  and  $v_0$  has a neighbor in  $B_i x_i$ .
- (B5) If (ii) occurs, then both  $u_0$  and  $v_0$  has a neighbor in  $I_H(B_{k+1})$ .
- (B6) If there exists a block  $B_{m+1}$  such that  $B_{m+1} \neq B_{m-1}$  and  $|V(B_m \cap B_{m+1})| = 1$ , then  $B_m x_{m+1}$  has no neighbors in  $C[v_0, u_0] \{u_0, v_0\}$ , where  $\{x_{m+1}\} = V(B_m \cap B_{m+1})$ .
- (B7) If (ii) occurs and k = m 1, then  $B_m$  itself is a component of H, or  $I_H(B_m)$  has no neighbors in  $C[v_0, u_0] \{u_0, v_0\}$ .

Note that the sequence consisting of only  $B_0$  satisfies conditions (B1)–(B7). Notice also that by conditions (B1)–(B3), an extended chain of blocks in H for C from  $B_0$  consists of at most two chains of blocks whose abstract trees are paths in H. Moreover, if (ii) occurs, then the chain of blocks containing  $B_0$  is a component in H.

An extended chain  $B_0B_1 \cdots B_m$  of blocks in H for C from  $B_0$  is called *maximal* if  $B_0B_1 \cdots B_mB_{m+1}$  is not an extended chain of blocks in H for every block  $B_{m+1}$  of H.

This maximal extended chain plays a key role in our proof, because what we are trying to do is to contract the subgraphs in the maximal extended chain, together with some vertices on the outer cycle. Let us observe that each block in the maximal extended chain is a circuit graph and therefore, we can use the induction hypothesis to each block. We want to show that the resulting graph after the contraction satisfies the assumptions of Theorem 5. This is not quite true, but Lemma 11 (see the end of this section) tells us that this is *almost* true. Assuming Lemma 11, we will try to glue a spanning closed walk in the extended maximal chain and a spanning closed walk in the resulting graph together, and then obtain a spanning closed walk of length at most 4(n-1)/3 in the original graph. As we see here, Lemma 11 below is a key, but in order to mention this lemma, we need to analyze the structure of a maximal extended chain more carefully.

Let  $B_0B_1 \cdots B_m$  be a maximal extended chain of blocks in H for C from  $B_0$ . By the maximality of m, we can divide the properties of the sequence  $B_0B_1 \cdots B_m$  into the following types.

**Type 1.** There exists a block  $B_{m+1}$  such that  $B_{m+1} \neq B_{m-1}$  and  $|V(B_m \cap B_{m+1})| = 1$ .

This condition implies that the sequence  $B_0 \cdots B_m B_{m+1}$  satisfies conditions (B1), (B5) and (B7). Let  $\{x_{m+1}\} = V(B_m \cap B_{m+1})$ . By the maximality of m, we can also divide Type 1 into the following four types. Note that the sequence  $B_0 \cdots B_{m+1}$  of Types 1.1, 1.2, 1.3 and 1.4 does not satisfy conditions (B2), (B3), (B4) and (B6), respectively.

**Type 1.1.** The vertex  $x_{m+1}$  is contained in at least three blocks of H. **Type 1.2.** The block  $B_{m+1}$  contains at least three cut vertices of H.



Figure 4: Type 1.3

Figure 5: Type 1.4

**Type 1.3.** Neither  $u_0$  nor  $v_0$  is a neighbor of  $B_{m+1} - x_{m+1}$ . **Type 1.4.** There exists a block  $B_{m+2}$  such that  $B_{m+2} \neq B_m$ ,  $|V(B_{m+1} \cap B_{m+2})| = 1$ , and  $B_{m+1} - x_{m+2}$  has a neighbor in  $C[v_0, u_0] - \{u_0, v_0\}$ , where  $\{x_{m+2}\} = V(B_{m+1} \cap B_{m+2})$ .

**Type 2.** There exists no block B such that  $B \neq B_{m-1}$  and  $|V(B \cap B_m)| = 1$ .

This condition implies that  $B_m$  is an end block of H. So, for all end blocks B of H with  $B \neq B_i$ for  $0 \leq i \leq m$ , the sequence  $B_0 \cdots B_m B$  satisfies conditions (B1) and (B2). If there exists a block  $B_{m+1}$  of  $H - \bigcup_{i=0}^m B_i$  such that  $B_{m+1}$  itself is a component of H and both  $u_0$  and  $v_0$  is a neighbor of  $B_{m+1}$ , then  $B_0 \cdots B_m B_{m+1}$  also satisfies conditions (B3)–(B7), contradicting the maximality of m. Thus, Type 2 can be also divided into the following types.

**Type 2.1.** There exists a component D' of  $H - \bigcup_{i=0}^{m} B_i$  such that D' has at least two blocks and both  $u_0$  and  $v_0$  is a neighbor of D'.

**Type 2.2.** There exists no component D' of  $H - \bigcup_{i=0}^{m} B_i$  such that both  $u_0$  and  $v_0$  is a neighbor of D'.

We have the following lemma, which states that the contraction of a maximal extended chain of blocks keeps the property "being a circuit graph", with a few exceptions. (See Figure 6. In Figure 6, the chain of blocks  $B_0B_1...B_m$  together with four blocks inside of C represents D.) This plays an important role in the proof of Theorem 5.

**Lemma 11** Let (G, C) be a circuit graph, and let H = G - V(C). Let  $B_0$ ,  $u_0$  and  $v_0$  be an end block of H and two neighbors of  $I_H(B_0)$  in C as in Lemma 10, respectively. Let  $B_0B_1 \ldots B_m$  be a maximal extended chain of blocks of H for C from  $B_0$ . Let  $G_0$  be the graph obtained from G by contracting  $\bigcup_{i=0}^{m-1} B_i \cup I_H(B_m) \cup C[u_0, v_0]$  into one vertex. Let  $C_0$  be the outer cycle of  $G_0$ . If  $|V(G_0)| \ge 3$ , then



Figure 6: An exception to Lemma 11.

 $(G_0, C_0)$  is a circuit graph, unless there exists a subgraph D of H such that D satisfies the following properties;

- (D1) The subgraph D consists of some components of H.
- (D2) For  $0 \le i \le m$ , the block  $B_i$  is contained in D.
- (D3) The subgraph D has exactly one neighbor in  $C[v_0, u_0] \{u_0, v_0\}$ , say z.
- (D4) The subgraph D' is a chain of blocks with at least two end blocks, where  $D' = D \bigcup_{i=0}^{m-1} B_i I_H(B_m)$ , and z is a neighbor of both end blocks.
- (D5) The pair  $(G'_0, C_0)$  is a circuit graph with  $G'_0 \not\cong K_3$ , where  $G'_0 = G_0 D'$ .

We will prove Lemma 11 in Section 4.

# 3 Proof of Theorem 5

Assume that Theorem 5 does not hold and let (G, C) be a minimum counter example, that is, 2G has no spanning connected even subgraph with desired conditions, but 2G' has for every circuit graph (G', C') with |V(G')| < |V(G)|, or |V(G')| = |V(G)| and |E(G')| < |E(G)|.

Suppose that (G, C) is not an edge-minimal circuit graph, that is, there exists an edge e in G such that (G', C') is also a circuit graph, where G' = G - e and C' is the outer cycle of G'. Then by the minimality of (G, C), the multigraph 2G' has a spanning connected even subgraph with desired conditions. However it is also a spanning connected even subgraph with desired conditions in 2G, a contradiction. Hence the following holds:

**Fact 1** The circut graph (G, C) is edge-minimal.

Now we show the following claim.

Claim 2 Every edge in C is incident with a 2-vertex of G.

**Proof of Claim 2.** Suppose not, and let e be an edge of C which is incident with no 2-vertex. Let G' = G - e. By Lemma 9 (i)–(ii), the graph G' is a chain of blocks  $B_0B_1 \cdots B_m$  with  $m \ge 1$  such that one end vertex of e, say  $x_0$ , is contained in  $I_{G'}(B_0)$ , and the other, say  $x_{m+1}$ , is contained in  $I_{G'}(B_m)$ . By the choice of e, we have  $d_G(x_0) \ge 3$  and  $d_G(x_{m+1}) \ge 3$ . If m = 1 and  $|B_0| = |B_m| = 3$ , then we can



Figure 7: The graphs  $G_1, G_2, R_1$  and  $R_2$  in the proof of Claim 2.

easily find a spanning connected even subgraph with desired conditions in 2G. Hence by symmetry, we may assume that  $m \ge 2$  or  $|B_0| \ge 4$ .

Let  $G_1$  be the graph obtained from G by contracting  $I_{G'}(B_m)$  into one vertex, say y, and let  $C_1$  be the outer cycle of  $G_1$ . See the left side of Figure 7. Note that y is a 2-vertex in  $G_1$ .

Since  $d_G(x_{m+1}) \ge 3$ , we have  $|B_m| \ge 3$ , and hence  $|V(G_1)| = |V(G)| - |I_{G'}(B_m)| + 1 < |V(G)|$ . By Lemma 9 (iii), the pair  $(G_1, C_1)$  is also a circuit graph with  $G_1 \not\cong K_3$ . Then it follows from the minimality of (G, C) that  $2G_1$  has a spanning connected even subgraph  $R_1$  satisfying conditions  $(R_1)-(R_3)$ . Let  $\widetilde{R_1} = R_1 - y$ . Note that possibly except for  $x_0$  and  $x_m$ , each vertex of  $\widetilde{R_1}$  has an even degree, where  $\{x_m\} = V(B_{m-1} \cap B_m)$ . Since y is a 2-vertex in  $G_1$ , it follows from condition  $(R_2)$  that  $d_{R_1}(y) = 2$ . Hence by condition  $(R_1)$ , we have

$$|E(\widetilde{R}_{1})| \le \frac{4|V(G_{1})| - 4}{3} - 2.$$
(1)

We divide the proof into 2 cases depending on parities of the degrees of  $x_0$  and  $x_m$ : the case where both  $x_0$  and  $x_m$  have even degrees in  $\widetilde{R_1}$  (Case 1), and the case where both have odd degrees (Case 2). Before considering two cases separately, we show a common property in both cases. In Case 1, note that the two edges of  $R_1$  incident with y come from one edge of G. In this case, clearly  $\widetilde{R_1}$  is connected. On the other hand, in Case 2, the two edges of  $R_1$  that are incident with y come from two distinct edges of G. In this case, if  $\widetilde{R_1}$  is not connected, then each component of  $\widetilde{R_1}$  contains exactly one of  $x_0$  and  $x_m$ , and hence it does not satisfy the handshake lemma, a contradiction. Therefore, in either case,  $\widetilde{R_1}$  is connected.

Case 1. Both  $x_0$  and  $x_m$  have even degrees in  $R_1$ .

In this case, see the middle of Figure 7. Let  $G_2 = B_m$  and let  $C_2$  be the outer cycle of  $G_2$ . By Lemma 7 (and since  $|B_m| \ge 3$ ), the pair  $(G_2, C_2)$  is also a circuit graph. By the minimality of (G, C) or by Proposition 6, the multigraph  $2G_2$  has a spanning connected even subgraph  $R_2$  satisfying conditions (R1'), (R2) and (R3).

Let  $R = \widetilde{R_1} \cup R_2$ . Since each vertex of  $\widetilde{R_1}$  and  $R_2$  has an even degree and both  $\widetilde{R_1}$  and  $R_2$  are connected, the graph R is a spanning connected even subgraph of 2G. Since both  $\widetilde{R_1}$  and  $R_2$  satisfy conditions (R2) and (R3), the subgraph R of 2G also satisfies conditions (R2) and (R3), (Note that

each 2-vertex of G is also a 2-vertex in one of the graphs  $G_1$  and  $G_2$ ) Notice also that

$$|V(G_2)| = |B_m| = |V(G)| - |V(G_1)| + 2.$$

Then by inequality (1) and condition (R1') for  $R_2$ , we have

$$|E(R)| = |E(\widetilde{R}_{1})| + |E(R_{2})|$$

$$\leq \frac{4|V(G_{1})| - 4}{3} - 2 + \frac{4|V(G_{2})| - 3}{3}$$

$$< \frac{4(|V(G_{1})| + |V(G_{2})| - 2) - 4}{3}$$

$$= \frac{4|V(G)| - 4}{3}.$$

Hence R also satisfies condition (R1), contradicting the assumption that (G, C) is a minimum counter example.  $\Box$ 

Case 2. Both  $x_0$  and  $x_m$  have odd degrees in  $R_1$ .

In this case, see the middle of Figure 7. Note that  $R_1$  contains an edge connecting  $x_0$  and y and an edge connecting y and  $x_m$ .

Let  $G_2$  be the graph obtained from  $B_m$  by adding the path P of length three from  $x_m$  to  $x_{m+1}$ (with two new vertices) so that the outer cycle  $C_2$  of  $G_2$  contains P and  $E(C) \cap E(B_m)$ . Since  $m \ge 2$ or  $|B_0| \ge 4$ , we have  $|V(G_1)| \ge 5$ . Hence

$$|V(G_2)| = |B_m| + 2 = |V(G)| - |V(G_1)| + 4 < |V(G)|.$$

Note that  $G_2 \not\cong K_3$ . By Lemma 8 for  $D = B_m - \{x_m, x_{m+1}\}$ , the pair  $(G_2, C_2)$  is also a circuit graph. It follows from the minimality of (G, C) that  $2G_2$  has a spanning connected even subgraph  $R_2$  satisfying conditions (R1)–(R3). Let  $\widetilde{R}_2$  be the graph obtained from  $R_2$  by removing two internal vertices of P. By conditions (R1) and (R2), we have  $|E(\widetilde{R}_2)| \leq \frac{4|V(G_2)|-4}{3} - 3$ . By condition (R3), both  $x_m$  and  $x_{m+1}$  have odd degree in  $\widetilde{R}_2$ , but all other vertices have even degree.

Let  $R = R_1 \cup R_2 \cup \{x_0 x_{m+1}\}$ . By the construction, the graph R is a spanning connected even subgraph of 2G such that R satisfies conditions (R2) and (R3). Moreover, by inequality (1) and condition (R1) for  $R_2$ , we have

$$\begin{aligned} |E(R)| &= |E(R_1)| + |E(R_2)| + 1\\ &\leq \frac{4|V(G_1)| - 4}{3} - 2 + \frac{4|V(G_2)| - 4}{3} - 3 + 1\\ &= \frac{4(|V(G_1)| + |V(G_2)| - 4) - 4}{3}\\ &= \frac{4|V(G)| - 4}{3}, \end{aligned}$$

and hence R also satisfies condition (R1), a contradiction again. This discussion completes the proof of Claim 2.  $\Box$ 

If G = C, then C itself is a spanning connected even subgraph of 2G such that C satisfies conditions (R1)–(R3). Hence we may assume that  $V(G) - V(C) \neq \emptyset$ . Then it follows from Claim 2 that  $|V(C)| \geq 6$ . Let H = G - V(C). Let  $B_0, u_0$ , and  $v_0$  be an end block of H and two neighbors of  $I_H(B_0)$  in C as in Lemma 10, respectively. Let  $B_0B_1 \ldots B_m$  be a maximal extended chain of blocks of H for C from  $B_0$ . Let  $G_0$  be the graph obtained from G by contracting  $\bigcup_{i=0}^{m-1} B_i \cup I_H(B_m) \cup C[u_0, v_0]$  to one vertex. See Figure 8. We next prove the following claim.



Figure 8: The graph  $G_0$  for an extended chain of Type 1.

Claim 3  $|V(G_0)| \ge 4$ .

**Proof.** Assume that  $|V(G_0)| \le 3$ . Note that  $|C[v_0, u_0]| \le 4$ . Hence by Claim 2, *H* has no neighbors in  $C[v_0, u_0] - \{u_0, v_0\}$ .

Suppose first  $H \neq \bigcup_{i=0}^{m} B_i$ . Then there exists a vertex x in  $H - \bigcup_{i=0}^{m} V(B_i)$ . It follows from Claim 2 that  $C[v_0, u_0] - \{u_0, v_0\} \neq \emptyset$ , and hence we have  $|V(G_0) - V(H)| \ge 2$ . This inequality implies that  $V(H) = \{x\} \cup \bigcup_{i=0}^{m} B_i$ . Since x has no neighbors in  $C[v_0, u_0] - \{u_0, v_0\}$ , both  $u_0$  and  $v_0$  are neighbors of x. However, letting  $B_{m+1}$  be the block of H containing x, (which consists of only two vertices,) the sequence  $B_0 \cdots B_m B_{m+1}$  is also an extended chain of blocks of H, contradicting the maximality of m. Hence we have

$$H = \bigcup_{i=0}^{m} B_i$$

Suppose next m = 0. If  $|B_0| \leq 3$ , then we can easily show that 2G has a spanning connected even subgraph satisfying conditions (R1)–(R3). So we may assume that  $|B_0| \geq 4$ . Let  $G_1 = B_0$  and  $C_1$  be the outer cycle of  $G_1$ . Then  $G_1$  is 2-connected, and hence by Lemma 7, the pair  $(G_1, C_1)$  is a circuit graph with  $4 \leq |V(G_1)| = |V(G)| - |V(C)|$ . By the minimality of (G, C), the multigraph  $2G_1$  has a spanning connected even subgraph  $R_1$  satisfying conditions (R1)–(R3). Let e and e' be the edges in 2Gsuch that both of e and e' correspond to an edge connecting  $B_0$  and C in G. Let  $R = R_1 \cup C \cup \{e, e'\}$ . Then R is a spanning connected even subgraph of 2G such that R satisfies conditions (R2) and (R3). Moreover, since  $|V(G_1)| = |V(G)| - |V(C)|$  and  $|V(C)| \geq 6$  (by Claim 2), we have

$$\begin{aligned} |E(R)| &= |E(R_1)| + |E(C)| + 2 \\ &\leq \frac{4|V(G_1)| - 4}{3} + |V(C)| + 2 \\ &= \frac{4(|V(G_1)| + |V(C)|) - 4}{3} - \frac{|V(C)| - 6}{3} \\ &\leq \frac{4|V(G)| - 4}{3}. \end{aligned}$$

Then R also satisfies condition (R1).

Now we suppose  $m \ge 1$ . This inequality implies that  $|V(H)| \ge 3$ .

If H is not connected, then a component of H not containing  $B_0$  can have only two neighbors  $u_0$ and  $v_0$ , contradicting that (G, C) is a circuit graph. This discussion implies that H is connected, and hence  $|V(B_i \cap B_{i+1})| = 1$  for  $0 \le i \le m - 1$ . Since the pair (G, C) is a circuit graph and H has no neighbors in  $C[v_0, u_0] - \{u_0, v_0\}$ , the vertex  $u_0$  is a neighbor of  $I_H(B_m)$ . Let  $G_2$  be the subgraph of G induced by  $V(H) \cup \{u_0\}$ . See Figure 9. By condition (B4) and the assumption that "H is connected and  $m \ge 1$ ", the graph  $G_2$  is 2-connected. Hence  $(G_2, C_2)$  is a circuit graph, where  $C_2$  is the outer cycle of  $G_2$ . Since  $m \ge 1$ , we have  $|V(G_2)| \ge 4$ . It follows from the minimality of (G, C) that  $2G_2$  has a spanning connected even subgraph  $R_2$  satisfying conditions (R1)–(R3).



Figure 9: The graphs  $G_2$  and  $R_2$  in the proof of Claim 3.

Let  $R = R_2 \cup C$ . Then R is a spanning connected even subgraph of 2G such that R satisfies conditions (R2) and (R3). Moreover, since  $|V(G_2)| = |V(G)| - |V(C)| + 1$  and  $|V(C)| \ge 6$  (by Claim 2), we have

$$\begin{aligned} |E(R)| &= |E(R_2)| + |E(C)| \\ &\leq \frac{4|V(G_2)| - 4}{3} + |V(C)| \\ &= \frac{4(|V(G_2)| + |V(C)| - 1) - 4}{3} - \frac{|V(C)| - 4}{3} \\ &\leq \frac{4|V(G)| - 4}{3}. \end{aligned}$$

This discussion completes the proof of Claim 3.  $\Box$ 

Let y be the vertex of  $G_0$  obtained by contracting  $\bigcup_{i=0}^{m-1} B_i \cup I_H(B_m) \cup C[u_0, v_0]$ . Now we prove the following claim. Let  $C_0$  be the outer cycle of  $G_0$ .

**Claim 4** The multigraph  $2G_0$  has a spanning connected even subgraph satisfying conditions (R1)–(R3).

**Proof.** If  $(G_0, C_0)$  is a circuit graph, then it follows from Claim 3 and the minimality of (G, C) that the statement is obvious. Then we may assume that  $(G_0, C_0)$  is not a circuit graph. By Lemma 11 and Claim 3, there exists a subgraph D of H such that D satisfies conditions (D1)-(D5). By condition (D5), the pair  $(G'_0, C_0)$  is a circuit graph, where  $G'_0 = G_0 - D'$ . Let  $G''_0$  be the subgraph of  $G_0$  induced by  $V(D') \cup \{z\}$ , where z is the unique neighbor of D in  $C[v_0, u_0]$  with  $z \neq u_0$  and  $z \neq v_0$ . By conditions (D3) and (D4), the graph  $G''_0$  is 2-connected, and hence it follows from Lemma 7 that  $(G''_0, C''_0)$  is a circuit graph, where  $C''_0$  is the outer cycle of  $G''_0$ . Note that  $|V(G'_0)| < |V(G)|, |V(G''_0)| < |V(G)|,$  $G'_0 \not\cong K_3$ , and  $G''_0 \not\cong K_3$ . Then it follows from the minimality of (G, C) that both  $2G'_0$  and  $2G''_0$  have spanning connected even subgraphs  $R'_0$  and  $R''_0$  satisfying conditions (R1)-(R3), respectively.

Let  $R_0 = R'_0 \cup R''_0$ . Then  $R_0$  is a spanning connected even subgraph of  $2G_0$  such that  $R_0$  satisfies

conditions (R2) and (R3). Moreover, since  $|V(G_0)| = |V(G'_0)| + |V(G''_0)| - 1$ , we obtain

$$\begin{aligned} |E(R_0)| &= |E(R'_0)| + |E(R''_0)| \\ &\leq \frac{4|V(G'_0)| - 4}{3} + \frac{4|V(G''_0)| - 4}{3} \\ &= \frac{4(|V(G'_0)| + |V(G'_0)| - 1) - 4}{3} \\ &= \frac{4|V(G_0)| - 4}{3}, \end{aligned}$$

and hence  $R_0$  also satisfies condition (R1). This discussion completes the proof of Claim 4.

By Claim 4, the multigraph  $2G_0$  has a spanning connected even subgraph  $R_0$  satisfying conditions (R1)–(R3). In the remaining parts of the proof, we deal with two types (Types 1 and 2) for  $B_0 \cdots B_m$  at that same time. To do that we first set some terminology.

Suppose first that the sequence  $B_0 \cdots B_m$  is of Type 1. In this case, the vertex  $x_{m+1}$  exists, and  $2G_0$  has the two edges, say e and e', such that e and e' connect  $x_{m+1}$  and y. Let  $\widetilde{R_0}$  be the subgraph of 2G such that  $\widetilde{R_0}$  is induced by the all edges of  $R_0$  except for the edges e and e' if  $R_0$  contains them. Then each vertex has even degree in  $\widetilde{R_0}$ , possibly except for  $u_0, v_0$  and  $x_{m+1}$ .

Suppose next that the sequence  $B_0 \cdots B_m$  is of Type 2. In this case, the vertex  $x_{m+1}$  does not exist. Let  $\widetilde{R_0}$  be the subgraph of 2G such that  $\widetilde{R_0}$  is induced by the edges of  $R_0$ . Then each vertex has even degree in  $\widetilde{R_0}$ , possibly except for  $u_0$  and  $v_0$ . If  $B_0 \cdots B_m$  is of Type 2, then ignore the vertex  $x_{m+1}$  and the edges e and e'.

Depending on parities of the degrees of  $x_{m+1}$ ,  $u_0$  and  $v_0$  in  $\widetilde{R_0}$ , we divide the proof into two cases.



Figure 10: The graphs  $R_0$  and  $R_1$  for Case 1.

Case 1. The sequence  $B_0 \cdots B_m$  is of Type 1 and exactly one of e and e' is contained in  $R_0$ .

In this case, see the left side of Figure 10. Note that  $x_{m+1}$  and exactly one of  $u_0$  and  $v_0$  have odd degrees in  $\widetilde{R_0}$ . By symmetry, we may assume that  $u_0$  has an odd degree in  $\widetilde{R_0}$ . It follows from condition (R1) for  $R_0$  that

$$|E(\widetilde{R_0})| \le \frac{4|V(G_0)| - 4}{3} - 1.$$

Let  $G_1$  be the graph obtained from the subgraph of G induced by  $\bigcup_{i=0}^m V(B_i) \cup C[u_0, v_0]$  by adding the path P of length three from  $x_{m+1}$  to  $u_0$  (with two new vertices) so that the outer cycle  $C_1$  of  $G_1$ contains P and  $C[u_0, v_0]$ . See the right side of Figure 10. Note that

$$|V(G_1)| = \left| \bigcup_{i=0}^m V(B_i) \cup C[u_0, v_0] \right| + 2 = |V(G)| - |V(G_0)| + 4.$$

Note that  $G_1 \not\cong K_3$ . By Lemmas 7 and 8 and by condition (B6), the pair  $(G_1, C_1)$  is also a circuit graph. It follows from the minimality of (G, C) that  $2G_1$  has a spanning connected even subgraph  $R_1$  satisfying conditions (R1)–(R3). Let  $\widetilde{R_1}$  be the graph obtained from  $R_1$  by removing two internal vertices of P. By conditions (R1) and (R3) for  $R_1$ , note that

$$|E(\widetilde{R_1})| \le \frac{4|V(G_1)| - 4}{3} - 3.$$

Let  $R = R_0 \cup R_1$ . It follows from the construction that R is a spanning connected even subgraph of 2G such that R satisfies conditions (R2) and (R3). Moreover, we have

$$\begin{split} |E(R)| &= |E(R_0)| + |E(R_1)| \\ &\leq \frac{4|V(G_0)| - 4}{3} - 1 + \frac{4|V(G_1)| - 4}{3} - 3 \\ &= \frac{4(|V(G_0)| + |V(G_1)| - 4) - 4}{3} \\ &= \frac{4|V(G)| - 4}{3}, \end{split}$$

and hence R also satisfies condition (R1), a contradiction to the minimality of (G, C), again.

Case 2. Otherwise.

We will deal with the remaining case at that same time, that is, the sequence  $B_0 \cdots B_m$  is of Type 2, or of Type 1 and both e and e' or neither e nor e' is contained in  $R_0$ . Note that in this case, even if  $x_{m+1}$  exists (Type 1), then it has even degree in  $\widetilde{R_0}$ . We divide the rest of the proof into two subcases; the one where both  $u_0$  and  $v_0$  have even degree in  $\widetilde{R_0}$  (Case 2.1) and the one where both have odd degree in  $\widetilde{R_0}$  (Case 2.2). To do that, we first need the following settings.

Let

$$t_e = \begin{cases} 2 & \text{if the sequence } B_0 \cdots B_m \text{ is of Type 1 and } e, e' \in E(R_0) \\ 0 & \text{otherwise.} \end{cases}$$

Note that

$$|E(\widetilde{R_0})| \le \frac{4|V(G_0)| - 4}{3} - t_e.$$

Define the integer k as follows:

$$k = \begin{cases} \max\{i : 0 \le i \le m-1 \text{ and } B_i \text{ is } K_2 \text{ or } K_3, \text{ or } B_i \cap B_{i+1} = \emptyset \end{cases} \text{ if such an integer } i \text{ exists,} \\ 0 & \text{otherwise.} \end{cases}$$

For  $1 \leq i \leq m-k$ , let  $G_i = B_{m-i+1}$  and let  $C_i$  be the outer cycle of  $G_i$ . Note that for  $1 \leq i \leq m-k$ , the graph  $G_i$  is isomorphic to neither  $K_2$  nor  $K_3$  by the choice of k, and hence by Lemma 7, the pair  $(G_i, C_i)$  is a circuit graph with  $G_i \ncong K_3$ . By the minimality of (G, C), for  $1 \leq i \leq m-k$ , the multigraph  $2G_i$  has a spanning connected even subgraph  $R_i$  satisfying conditions (R1)–(R3). **Remark:** Here we remark the reason why we need to define the integer k as above. First, even if we try to treat the extended chain of block as a whole, then it is impossible, since the whole might not

be 2-connected. Hence at least we have to deal with each block (or some groups of them) separately. Next, without defining the integer k, it follows from the minimality of (G, C) and Proposition 6 that for each block  $B_i$  with  $1 \le i \le m$ , we can find a spanning connected even subgraph  $R_i$  in  $2B_i$ , and obtain a spanning even subgraph R of 2G by combining them. However, the issues are connectedness and condition (R1) for R. If the extended chain of blocks  $B_0 \cdots B_m$  consists of two chains of blocks, then just combining  $R_0$  and  $R_i$  for  $1 \leq i \leq m$  cannot produce a connected subgraph. Hence in this case, we have to distinguish them, and hence we need to find an integer i with  $B_i \cap B_{i+1} = \emptyset$ . The second issue is condition (R1). Note that the upper bound on the number of edges in the spanning connected even subgraph obtained by Proposition 6 is slightly more than the one obtained by the minimality of (G, C). Hence by only combining  $R_i$  for  $1 \leq i \leq m$ , we cannot show that R satisfies condition (R1). To avoid such a situation, we define the integer k and use the minimality of (G, C) to only the blocks that are isomorphic to neither  $K_2$  nor  $K_3$ , that is, the blocks  $B_i$  with  $k + 1 \geq i \geq m$ . The remaining blocks will be dealt separately.

Let  $\widehat{B} = \bigcup_{i=0}^{k-1} B_i \cup I_H(B_k)$ . In the remaining arguments, we will construct a spanning connected even subgraph of 2G such that it satisfies conditions (R1)–(R3), combining  $\widetilde{R_0}$  and  $R_i$  for  $1 \leq i \leq m-k$ together with the connected even subgraph  $R_{m-k+1}$  obtained by the minimality of (G, C) to the certain graph containing  $\widehat{B}$ . (In Case 2.2, we also combine  $C[u_0, v_0]$ .) However, that is not enough and we need extra edges f and f', because of the following reason. Suppose that  $t_e = 2$ . In this case, through the process to obtain  $\widehat{R_0}$ , the spanning connected even subgraph  $R_0$  might be separated into three components; the one containing  $u_0$ , the one containing  $v_0$ , and the one containing  $x_{m+1}$ . The first two can be automatically connected through the process of combining  $\widetilde{R_0}$  and  $R_i$  for  $1 \leq i \leq m - k + 1$ , but the last one cannot. Thus, in the last case, we need to take two edges f and f' in 2G such that fand f' connect  $B_{k+1}$  and  $B_k \cup \{u_0, v_0\}$ , and add the edges f and f' instead of e and e'. Note that in the case where  $t_e = 0$ , the subgraph  $\widetilde{R_0}$  of 2G has only at most two components such that one of them contains  $u_0$  and the other contains  $v_0$ , and hence we do not need to add two such edges f and f'.

By the choice of k, we have four possibilities on  $B_k$ ;

(I)  $B_k \cap B_{k+1} = \emptyset$ ,

(II)  $B_k \cap B_{k+1} \neq \emptyset$  and  $B_k$  is  $K_2$ ,

(III)  $B_k \cap B_{k+1} \neq \emptyset$  and  $B_k$  is  $K_3$ , and

(IV)  $B_k \cap B_{k+1} \neq \emptyset$  and  $B_k = B_0$ .

In Case (I), the sequence  $B_0 \cdots B_m$  is of Type 2. Then  $I_H(B_k) = V(B_k) - \{x_k\}$ , and hence  $\widehat{B} = \bigcup_{i=0}^k B_i$ . In this case, it follows from condition (B5) that both  $u_0$  and  $v_0$  has a neighbor in  $I(B_{k+1})$ . Let f and f' be the edges of 2G such that both f and f' correspond to an edge connecting  $I(B_{k+1})$  and  $u_0$  in G.

In Case (II),  $B_k$  consists of only one edge, and let f and f' be the edges of 2G such that both f and f' correspond to the unique edge in  $B_k$ .

In Case (III),  $B_k$  consists of only three vertices, which are  $x_k$ ,  $x_{k+1}$  and the other one, say  $x'_k$ . Since (G, C) is a circuit graph,  $x'_k$  has to have a neighbor in C. Note that by condition (B4) and the planarity, exactly one of  $u_0$  and  $v_0$  is a neighbor of  $x'_k$ . Let f and f' be the edges of 2G such that both f and f' correspond to the edge  $x_{k+1}x'_k$  of G.

In Case (IV), we have  $\hat{B} = I_H(B_0)$ . By condition (B4), at least one of  $u_0$  and  $v_0$  has a neighbor in  $B_1 - x_1$ . Let f and f' be the edges of 2G such that both f and f' correspond to an edge connecting  $B_1 - x_1$  and  $u_0$  or  $v_0$  in G.

We are now ready to show each of the two subcases.

Case 2.1. Both  $u_0$  and  $v_0$  have even degree in  $R_0$ .

Let  $G_{m-k+1}$  be the subgraph of G induced by  $\widehat{B} \cup C[u_0, v_0]$ . It follows from conditions (B4) and (B5) that  $G_{m-k+1}$  is 2-connected. Let  $C_{m-k+1}$  be the outer cycle of  $G_{m-k+1}$ . By Lemma 7, note that  $(G_{m-k+1}, C_{m-k+1})$  is a circuit graph with  $G_{m-k+1} \not\cong K_3$ . Then  $2G_{m-k+1}$  has a spanning connected



Figure 11: The graphs  $\widetilde{R_0}, R_1, \cdots, R_{m-k+1}$  for Case 2.1 with case (III) and  $t_e = 2$ .

even subgraph  $R_{m-k+1}$  satisfying conditions (R1)–(R3). See Figure 11. Let

$$R = \begin{cases} \widetilde{R_0} \cup \bigcup_{i=1}^{m-k+1} R_i \cup \{f, f'\} & \text{if } t_e = 2, \\ \widetilde{R_0} \cup \bigcup_{i=1}^{m-k+1} R_i & \text{otherwise} \end{cases}$$

Note that  $|E(R)| = |E(\widetilde{R_0})| + \sum_{i=1}^{m-k+1} |E(R_i)| + t_e$ . Notice also that by the choice of k, we have  $V(R_i \cap R_{i+1}) \neq \emptyset$  for  $0 \le i \le m-k-1$ . Moreover, if follows from the choice of f and f' that R is a spanning connected even subgraph satisfying conditions (R2) and (R3).

Note that  $|V(G_0) \cap V(G_1)| \leq 1$ , and  $|V(G_i) \cap V(G_{i+1})| = 1$  for  $1 \leq i \leq m - k - 1$ . In addition, since  $V(G_{m-k}) \cap V(G_{m-k+1}) = \emptyset$ , we have

$$\sum_{i=0}^{m-k+1} |V(G_i)| \le |V(G)| + m - k + 1.$$

Thus, we obtain

$$\begin{aligned} |E(R)| &= |E(\widetilde{R_0})| + \sum_{i=1}^{m-k+1} |E(R_i)| + t_e \\ &\leq \sum_{i=0}^{m-k+1} \frac{4|V(G_i)| - 4}{3} \\ &= \frac{4\left(\sum_{i=0}^{m-k+1} |V(G_i)| - (m-k+1)\right) - 4}{3} \\ &\leq \frac{4|V(G)| - 4}{3}, \end{aligned}$$

and hence R also satisfies condition (R1).  $\Box$ 

Case 2.2. Both  $u_0$  and  $v_0$  have odd degree in  $R_0$ . Let

$$r = \begin{cases} k-1 & \text{if } k \ge 1 \text{ and } B_k \text{ is } K_2, \\ k & \text{otherwise.} \end{cases}$$



Figure 12: The graphs  $\widetilde{R_0}, R_1, \cdots, R_{m-k+1}$  for Case 2.2 with (I) and b).

Let let  $G_{m-k+1}$  be the subgraph of G induced by

 $\begin{cases} B_0 & \text{a) if } r = 0, \\ \widehat{B} \cup \{u_0\} & \text{b) if } r \neq 0, \ \widehat{B} \text{ is connected, and } u_0 \text{ has a neighbor in } B_k - x_k, \\ \widehat{B} \cup \{v_0\} & \text{c) if } r \neq 0, \ \widehat{B} \text{ is connected, and } u_0 \text{ does not have a neighbor in } B_k - x_k, \\ \widehat{B} \cup \{u_0, v_0\} & \text{d) otherwise.} \end{cases}$ 

See Figure 12. Note that it follows from conditions (B4) and (B5) that  $G_{m-k+1}$  is 2-connected, unless r = 0, and  $B_0 \cong K_2$  or  $B_0 \cong K_1$ . Notice also that  $G_{m-k+1} \cong K_i$  for i = 1, 2, 3 only when Case a) occurs.

If  $G_{m-k+1} \cong K_1$  or  $G_{m-k+1} \cong K_2$ , then  $2G_{m-k+1}$  has a spanning connected even subgraph  $R_{m-k+1}$ with  $|E(R_{m-k+1})| = 0$  or  $|E(R_{m-k+1})| = 2$ , respectively. If  $G_{m-k+1} \cong K_3$ , then it follows from Proposition 6 that  $2G_{m-k+1}$  has a spanning connected even subgraph  $R_{m-k+1}$  with  $|E(R_{m-k+1})| = 3$ . If  $G_{m-k+1}$  is isomorphic to neither  $K_1, K_2$  nor  $K_3$ , then it follows from the minimality of (G, C) that  $2G_{m-k+1}$  has a spanning connected even subgraph  $R_{m-k+1}$  satisfying conditions (R1)–(R3). In either case,  $2G_{m-k+1}$  has a spanning connected even subgraph  $R_{m-k+1}$  with

$$|E(R_{m-k+1})| \le \begin{cases} \frac{4|V(G_{m-k+1})|-2}{3} & \text{if Case a) occurs,} \\ \frac{4|V(G_{m-k+1})|-4}{3} & \text{otherwise.} \end{cases}$$

We first deal with Case a). Suppose first that  $B_0 \cap B_1 = \emptyset$ . In this case,  $B_0$  has at least three neighbors in  $C[u_0, v_0]$ , and hence it follows from Claim 2 that  $|V(C[u_0, v_0])| \ge 5$ . Let h and h' be the two edges of 2G such that both h and h' correspond to an edge connecting  $u_0$  and  $B_0$ .

Let

$$R = \begin{cases} \widetilde{R_0} \cup \bigcup_{i=1}^{m-k+1} R_i \cup C[u_0, v_0] \cup \{f, f', h, h'\} & \text{if } t_e = 2, \\ \widetilde{R_0} \cup \bigcup_{i=1}^{m-k+1} R_i \cup C[u_0, v_0] \cup \{h, h'\} & \text{otherwise.} \end{cases}$$

It follows from the construction that R is a spanning connected even subgraph of 2G such that R satisfies conditions (R2) and (R3). Now we shall check that R also satisfies condition (R1). Note that

$$|V(G)| \geq |V(G_0)| + |V(C[u_0, v_0])| - 1 + \sum_{i=1}^{m-k} (|V(G_i)| - 1) + |V(G_{m-k+1})|$$
  
= 
$$\sum_{i=0}^{m-k+1} |V(G_i)| + |V(C[u_0, v_0])| - (m-k+1).$$

Then we obtain

$$\begin{aligned} |E(R)| &= |E(\widetilde{R_0})| + \sum_{i=1}^{m-k+1} |E(R_i)| + |E(C[u_0, v_0])| + t_e + 2 \\ &\leq \sum_{i=0}^{m-k} \frac{4|V(G_i)| - 4}{3} + \frac{4|V(G_{m-k+1})| - 2}{3} + |V(C[u_0, v_0])| - 1 + 2 \\ &= \frac{4\left(\sum_{i=0}^{m-k+1} |V(G_i)| + |V(C[u_0, v_0])| - (m-k+1)\right) - 4}{3} - \frac{|V(C[u_0, v_0])| - 5}{3} \\ &\leq \frac{4|V(G)| - 4}{3}, \end{aligned}$$

and hence R also satisfies condition (R1).

Therefore, we may assume that  $B_0 \cap B_1 \neq \emptyset$ . In this case, without using two edges h and h', the subgraph  $R_{m-k+1}$  is automatically connected to others. Instead of it, we only know that  $B_0$  has at least two neighbors in  $C[u_0, v_0]$ , and hence it follows from Claim 2 that  $|V(C[u_0, v_0])| \geq 3$ . Let

$$R = \begin{cases} \widetilde{R_0} \cup \bigcup_{i=1}^{m-k+1} R_i \cup C[u_0, v_0] \cup \{f, f'\} & \text{if } t_e = 2, \\ \widetilde{R_0} \cup \bigcup_{i=1}^{m-k+1} R_i \cup C[u_0, v_0] & \text{otherwise.} \end{cases}$$

It follows from the construction that R is a spanning connected even subgraph of 2G such that R satisfies conditions (R2) and (R3). Note that

$$|V(G)| \geq |V(G_0)| + |V(C[u_0, v_0])| - 1 + \sum_{i=1}^{m-k+1} (|V(G_i)| - 1)$$
$$= \sum_{i=0}^{m-k+1} |V(G_i)| + |V(C[u_0, v_0])| - (m-k+2).$$

Then we obtain

$$\begin{split} |E(R)| &= |E(\widetilde{R_0})| + \sum_{i=1}^{m-k+1} |E(R_i)| + |E(C[u_0, v_0])| + t_e \\ &\leq \sum_{i=0}^{m-k} \frac{4|V(G_i)| - 4}{3} + \frac{4|V(G_{m-k+1})| - 2}{3} + |V(C[u_0, v_0])| - 1 \\ &= \frac{4\left(\sum_{i=0}^{m-k+1} |V(G_i)| + |V(C[u_0, v_0])| - (m-k+2)\right) - 4}{3} - \frac{|V(C[u_0, v_0])| - 3}{3} \\ &\leq \frac{4|V(G)| - 4}{3}, \end{split}$$

and hence R also satisfies condition (R1). This discussion completes Case a).

Now we consider the remaining three cases; Case b), c) and d). Let

$$R = \begin{cases} \widetilde{R_0} \cup \bigcup_{i=1}^{m-k+1} R_i \cup C[u_0, v_0] \cup \{f, f'\} & \text{if } t_e = 2, \\ \widetilde{R_0} \cup \bigcup_{i=1}^{m-k+1} R_i \cup C[u_0, v_0] & \text{otherwise.} \end{cases}$$

By the construction, R is a spanning connected even subgraph of 2G such that R satisfies conditions (R2) and (R3). (Notice that  $R_{m-k+1}$  shares  $u_0$  and/or  $v_0$  with others.) Now we shall check that R also satisfies condition (R1). Note that  $|V(C[u_0, v_0])| \ge 3$  by Claim 2.

Suppose first that Case b) or c) occurs. Then

$$|V(G)| \geq |V(G_0)| + |V(C[u_0, v_0])| - 1 + \sum_{i=1}^{m-k+1} (|V(G_i)| - 1)$$
  
= 
$$\sum_{i=0}^{m-k+1} |V(G_i)| + |V(C[u_0, v_0])| - (m-k+2).$$

Thus, we obtain

$$\begin{split} |E(R)| &= |E(\widetilde{R_0})| + \sum_{i=1}^{m-k+1} |E(R_i)| + |E(C[u_0, v_0])| + t_e \\ &\leq \sum_{i=0}^{m-k} \frac{4|V(G_i)| - 4}{3} + \frac{4|V(G_{m-k+1})| - 4}{3} + |V(C[u_0, v_0])| - 1 \\ &= \frac{4\left(\sum_{i=0}^{m-k+1} |V(G_i)| + |V(C[u_0, v_0])| - (m-k+2)\right) - 4}{3} - \frac{|V(C[u_0, v_0])| - 1}{3} \\ &\leq \frac{4|V(G)| - 4}{3}, \end{split}$$

and hence R also satisfies condition (R1).

Finally, suppose that Case d) occurs. In this case,

$$|V(G)| = |V(G_0)| + |V(C[u_0, v_0])| - 1 + \sum_{i=1}^{m-k} (|V(G_i)| - 1) + |V(G_{m-k+1})| - 2$$
$$= \sum_{i=0}^{m-k+1} |V(G_i)| + |V(C[u_0, v_0])| - (m-k+3).$$

Note that  $\widehat{B}$  is not connected, and hence  $B_0$  has at least three neighbors in  $C[u_0, v_0]$ . Hence it follows from Claim 2 that  $|V(C[u_0, v_0])| \ge 5$ . Thus, we obtain

$$\begin{split} |E(R)| &= |E(\widetilde{R_0})| + \sum_{i=1}^{m-k+1} |E(R_i)| + |E(C[u_0, v_0])| + t_e \\ &\leq \sum_{i=0}^{m-k+1} \frac{4|V(G_i)| - 4}{3} + |V(C[u_0, v_0])| - 1 \\ &= \frac{4\left(\sum_{i=0}^{m-k+1} |V(G_i)| + |V(C[u_0, v_0])| - (m-k+3)\right) - 4}{3} - \frac{|V(C[u_0, v_0])| - 5}{3} \\ &\leq \frac{4|V(G)| - 4}{3}, \end{split}$$

and hence R also satisfies condition (R1).

This discussion completes the proof of Theorem 5.  $\Box$ 

At the end of this section, we explain that we can find a spanning connected even subgraph R of 2G such that R satisfies condition (R1)–(R3) in  $O(n^2)$ -time, where n is the number of vertices of a graph G. In all part of the proof, we used the fact that (G, C) is a minimum counterexample, all of which means, in the algorithmic side, that we consider a decomposition of a given graph into some smaller (circuit) graphs that are pairwise edge-disjoint. First the total iteration number is O(m), where m is the number of edges, since at each step, at least one edge (that is not an edge added later) is deleted. In the process, we sometimes add some new edges, but at each step, we add constant number of edges, and hence, in total, we deal with only O(m) new edges. The important point is that all edges which were used in the spanning connected even subgraph in the smaller graph are surely used in the obtained spanning connected even subgraph, and all other edges in the smaller graph are never used in it. Since it takes O(m)-time to find a maximal extended chain of blocks, (this can be done by the similar way to find a block decomposition) and there are O(m) iterations, our algorithm takes  $O(m^2)$ -time. Since the input graphs are planar, we have  $O(m^2) = O(n^2)$ .

## 4 Proof of Lemma 11

Let y be the vertex of  $G_0$  obtained by contracting  $\bigcup_{i=0}^{m-1} V(B_i) \cup I_H(B_m) \cup C[u_0, v_0]$ . We consider two cases depending on the types of  $B_0 \cdots B_m$ .

## Type 1.1, 1.2, 1.4 or 2.1.

Suppose first that  $B_0, \ldots B_m$  is of Type 1.1, 1.2, or 1.4. Let  $B_{m+1}$  be the block of H as defined in Section 2.2. Let D be the graph consisting of the components B of H such that B contains  $B_i$  for some  $0 \le i \le m+1$ . Let  $D' = D - \bigcup_{i=0}^{m-1} B_i - I_H(B_m)$ .

When  $B_0, \ldots B_m$  is of Type 2.1, then let D' be the component as defined in Section 2.2. Let D be the graph consisting of D' and the components of H containing  $B_i$  for some  $0 \le i \le m$ .

In either cases, D is a subgraph of H such that D satisfies conditions (D1) and (D2). Note that D' is connected by conditions (B1)–(B3). We prove the following two claims.

Claim 5 The subgraph D' has at least two end blocks.

**Claim 6** For each end block B of D', at least one of  $u_0$  and  $v_0$  is not a neighbor of  $I_H(B)$ , unless  $B_0, \ldots B_m$  is of Type 1.4 and  $B = B_{m+1}$ , or  $B_0, \ldots B_m$  is of Type 2.1 and there exists an end block  $B_{m+1}$  of D' such that both  $u_0$  and  $v_0$  has a neighbor in  $I_H(B_{m+1})$  and for each end block B of D' with  $B \neq B_{m+1}$ , at least one of  $u_0$  and  $v_0$  is not a neighbor of  $I_H(B)$ .

**Proof of Claims 5 and 6.** We prove these claims considering three cases, depending on the types of  $B_0, \ldots B_m$ .

#### Type 1.1 or 1.2.

For these two types, the abstract tree of D has a vertex of degree at least three such that it corresponds to  $x_{m+1}$  (Type 1.1) or  $B_{m+1}$  (Type 1.2). Therefore, D has at least three end blocks, and hence D' has at least two end blocks. Thus, Claim 5 holds. Claim 6 is obvious by the planarity of G, Lemma 10 (ii) and condition (B5).

## Type 1.4.

Recall that by the definition of Type 1.4, there exists a block  $B_{m+2}$  with  $B_{m+2} \neq B_m$  such that  $|V(B_{m+1} \cap B_{m+2})| = 1$  and  $B_{m+1} - x_{m+2}$  has a neighbor in  $C[v_0, u_0] - \{u_0, v_0\}$ , where  $\{x_{m+2}\} = 0$ 

 $V(B_{m+2} \cap B_{m+1})$ . Then D' contains the two blocks  $B_{m+1}$  and  $B_{m+2}$ , and hence Claim 5 holds. Since  $B_{m+1} - x_{m+2}$  has a neighbor in  $C[v_0, u_0] - \{u_0, v_0\}$ , Claim 6 follows from the planarity of G.

#### Type 2.1.

In this type, Claim 5 trivially holds. So, we only show Claim 6. Suppose that there exist two end blocks  $B_{m+1}$  and  $B'_{m+1}$  of D' such that both  $u_0$  and  $v_0$  has a neighbor in  $I_H(B_{m+1})$  and in  $I_H(B'_{m+1})$ . Then by the planarity of G, at least one of the sequences  $B_0 \cdots B_m B_{m+1}$  and  $B_0 \cdots B_m B'_{m+1}$  satisfies conditions (B6) and (B7). However, since both sequence trivially satisfies conditions (B1)–(B5), we have a contradiction to the maximality of m. This discussion implies that at least one of  $u_0$  and  $v_0$ is not a neighbor of  $I_H(B)$  for each end block B of D', or there exists an end block  $B_{m+1}$  of D' such that both  $u_0$  and  $v_0$  has a neighbor in  $I_H(B_{m+1})$  and at least one of  $u_0$  and  $v_0$  is not a neighbor of  $I_H(B)$  for each end block  $B \neq B_{m+1}$ .

This discussion completes the proofs of Claims 5 and 6.  $\Box$ 

It follows from Claim 6 and the definition of a circuit graph that D' has a neighbor that is neither  $u_0$  nor  $v_0$ , and it must be contained in  $C[v_0, u_0]$  by Lemma 10 (ii). Let u' and v' be the neighbors of D' in  $C[v_0, u_0] - \{u_0, v_0\}$  such that u' and v' are closest to  $v_0$  and to  $u_0$  in  $C[v_0, u_0]$ , respectively. Let F be the subgraph of G induced by  $D' \cup C[u', v']$ . We have the following claim.

## Claim 7 The graph F is 2-connected.

**Proof.** Suppose not, that is, there exists a cut vertex in F.

Since there exists a cycle in F passing through C[u', v'] and inside of D', it is clear that there exists an end block B of F such that B contains no vertex of C and has no neighbor in C[u', v']. Note that Bis also an end block of H (and  $B \neq B_{m+1}$  when  $B_0, \ldots B_m$  is of Types 1.4 and 2.1 and the exceptional case occurs). Therefore, since (G, C) is a circuit graph, the block B has at least two neighbors in C. By Claim 6, at least one of  $u_0$  and  $v_0$  is not a neighbor of B, and hence B has a neighbor w in C with  $w \neq u_0, v_0$ .

By the choice of u' and v', we have  $w \notin V(C[v_0, u'] - \{u'\}) \cup V(C[v', u_0] - \{v'\})$ . It follows from Lemma 10 (ii) that  $w \notin V(C[u_0, v_0]) - \{u_0, v_0\}$ . Hence  $w \in V(C[u', v'])$ , but this contradicts that Bis an end block of F. This discussion completes the proof of Claim 7.  $\Box$ 

If  $(G_0, C_0)$  is a circuit graph, then we are done. So, suppose that  $(G_0, C_0)$  is not a circuit graph. Since  $G_0$  is 2-connected, there exists a cut set S of order two such that  $G_0 - S$  has a component containing no vertices of  $C_0$ . Recall that y is the vertex of  $G_0$  obtained by contracting  $\bigcup_{i=0}^{m-1} B_i \cup$  $I_H(B_m) \cup C[u_0, v_0]$ . Since (G, C) is a circuit graph, we have  $y \in S$ . Let z be the vertex in S with  $z \neq y$ .

Note that F is an induced subgraph of  $G_0 - y$ . Thus, z is contained in F and z separates some vertices in F from C. However, it follows from Claim 7 that F is 2-connected, and hence z = u' = v'. By the choice of u' and v', we have F - z = D' and D' has no neighbor in  $C[v_0, u_0] - \{v_0, z, u_0\}$ . It follows from Lemma 10 (ii) that D' has no neighbor in  $C[u_0, v_0] - \{v_0, u_0\}$ . Hence, D' has only at most three neighbors, which are  $u_0, v_0$  and z.

It follows from Lemma 10 (ii) and the planarity of G that D' has at most two end blocks. (Since otherwise, at least one of the end blocks can have only one neighbor in C, contradicting that (G, C)is a circuit graph.) On the other hand, it follows from Claim 5 that D' has at least two end blocks, and hence D' has exactly two end blocks. Moreover, all of  $u_0, z$  and  $v_0$  are neighbors of D' and hence conditions (D3) and (D4) hold.

Let  $G'_0 = G_0 - D'$ . Let x be a vertex in  $G'_0 - V(C_0)$ . Note that x is also a vertex in G - V(C). Since (G, C) is a circuit graph, there exist three pairwise internally disjoint paths  $P_1, P_2$  and  $P_3$  in *G* from *x* to *C*. Since *D* consists of some components of *H*, the paths  $P_1, P_2$ , and  $P_3$  exist even in G - D. Let  $w_i$  be the end vertex of  $P_i$  in *C* for  $i \in \{1, 2, 3\}$ . It follows from Lemma 10 (ii) that  $w_1, w_2, w_3 \notin V(C[u_0, v_0]) - \{u_0, v_0\}$ . By the existence of *D'* and *z* and the planarity of *G*, at most one of the vertices  $w_1, w_2$  and  $w_3$  belongs to  $\{u_0, v_0\}$ . These discussions imply that  $P_1, P_2$  and  $P_3$  are still paths in  $G'_0$  from *x* to  $C_0$  such that  $P_1, P_2$  and  $P_3$  are pairwise disjoint except for *x*. This holds for every vertex *x* in  $G'_0 - V(C_0)$ , and hence  $(G'_0, C_0)$  is also a circuit graph. Therefore (D5) also holds.

This discussion completes the proof of Lemma 11 for a maximal extended chain of blocks of Type 1.1, 1.2, 1.4 or 2.1.  $\Box$ 

#### Type 1.3 or 2.2.

Let x be a vertex in  $G_0 - V(C_0)$ . Note that x is also a vertex in G - V(C). Since (G, C) is a circuit graph, there exist three paths  $P_1, P_2$  and  $P_3$  in G from x to C such that  $P_1, P_2$  and  $P_3$  are pairwise disjoint except for x. By the conditions of Types 1.3 and 2.2 and by Lemma 10 (ii), two of the paths  $P_1, P_2$  and  $P_3$ , say  $P_1$  and  $P_2$  by symmetry, use no vertex in  $\bigcup_{i=0}^{m-1} B_i \cup I_H(B_m) \cup C[u_0, v_0]$ . Then we can find three paths  $P_1, P_2$  and  $P'_3$  in  $G_0$  from x to  $C_0$  such that  $P_1, P_2$  and  $P'_3$  are pairwise disjoint except for x, where  $P'_3$  is the path in  $G_0$  from x to y such that  $P'_3$  corresponds to  $P_3$ , or  $P'_3 = P_3$  if  $P_3$ does not use a vertex in  $I_H(B_m) \cup \{u_0, v_0\}$ . This holds for every vertex x in  $G_0 - V(C_0)$ , and hence  $(G_0, C_0)$  is also a circuit graph. This completes the proof for Type 1.3 or 2.2, and the proof of Lemma 11.  $\Box$ 

# 5 Sharpness

In this section, we give several examples showing sharpness of our results.

Let H be a graph embedded in a closed surface. For each face of H, we put a vertex v in its interior and join v with the vertices on its boundary. The resulting graph G is the *face subdivision* of H. Obviously, the representativity of G is at least that of H when H is embedded in a non-spherical closed surface.

The following proposition shows that Theorems 2 and 3 are "essentially" tight.

**Proposition 12** For each closed surface  $\mathbb{F}^2$  with Euler characteristic  $\chi$ , there exist infinitely many triangulations G on  $\mathbb{F}^2$  such that every spanning closed walk of G has length at least  $\frac{4}{3}(|V(G)| - \chi)$ . Moreover, if  $\chi \leq 1$ , then such a graph G can be chosen so that the representativity of G is arbitrarily large.

*Proof.* Let T be a triangulation of  $\mathbb{F}^2$  with |V(T)| = t, and let G be the face subdivision of T. Then,  $|V(G) - V(T)| = 2(t - \chi)$  and  $|V(G)| = 3t - 2\chi$ . Let W be a spanning closed walk of G. Since V(G) - V(T) is independent in G, we have

Length(W) 
$$\geq 2|V(G) - V(T)| = 4(t - \chi) = \frac{4}{3}(|V(G)| - \chi),$$

where Length(W) denotes the length of W. In order to make the representativity of G large, we take a triangulation T with large representativity.  $\Box$ 

Unfortunately, this proposition does not show the sharpness of Theorem 2. In fact, we expect that  $\frac{4}{3}(n-2)$  (for  $n \ge 8$ ) will be the sharp bound for the planar case.

However, the following proposition shows that Theorem 5 is best possible.

**Proposition 13** There exist infinitely many circuit graphs G such that every spanning closed walk of G has length at least  $\frac{4}{3}(|V(G)|-1)$ .

*Proof.* Let T be a triangulation of the sphere with |V(T)| = t, and let G be obtained from the face subdivision of T by deleting one vertex of T. Then, |V(G) - V(T)| = 2t - 4 and |V(G)| = 3t - 5. Let W be a spanning closed walk of G. Since V(G) - V(T) is independent in G, we have

Length(W) 
$$\geq 2|V(G) - V(T)| = 2(2t - 4) = \frac{4}{3}(|V(G)| - 1),$$

as desired.  $\Box$ 

Finally, we note that we have not found any example showing that the assumption on the representativity in Theorem 3 is necessary. It might be true that every 3-connected graph G embedded in a closed surface with Euler characteristic  $\chi$  has a spanning closed walk with at most  $\frac{4}{3}(|V(G)| - \chi)$ edges.

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# Appendix: Proof of Theorem 3

Using the result in [24], Kawarabayashi, Nakamoto and Ota [16] proved the following result. (See Theorem 3.4 in [16], and also Theorems 3.1 and 3.2. The subgraph we can find by Lemma 14 is called a *starlike I3CP* graph in [16].) Note that the second part of Lemma 14 is not stated in [16] explicitly, and hence we show it in this paper.



Figure 13: A circuit graph  $(G_0, C_0)$  and three chains of blocks  $\mathcal{D}^1, \mathcal{D}^2, \mathcal{D}^3$  desired in Lemma 14.

**Lemma 14** For any closed surface  $\mathbb{F}^2$  with Euler characteristic  $\chi$ , there exists a positive integer  $r = r(\mathbb{F}^2)$  such that if G is a 3-connected graph on  $\mathbb{F}^2$  with representativity at least r, then G has a spanning subgraph obtained from a circuit graph  $(G_0, C_0)$  and t chains of blocks  $\mathcal{D}^1, \mathcal{D}^2 \cdots, \mathcal{D}^t$ , say  $\mathcal{D}^i = B_0^i B_1^i \cdots B_{m_i}^i$  for  $1 \leq i \leq t$ , with  $t \leq -2\chi + 2$  by identifying a vertex  $v_i$  in  $C_0$  and a vertex  $p_i$  of  $I_{\mathcal{D}^i}(B_0^i)$  for each  $1 \leq i \leq t$ . Moreover, we can take such a spanning subgraph so that for  $1 \leq i \leq t$ , there exists a vertex  $u_i$  in  $C_0$  such that  $u_i$  is a neighbor of  $I_{\mathcal{D}^i}(B_i^i)$  in G for  $0 \leq j \leq m_i$  with  $I_{\mathcal{D}^i}(B_i^j) \neq \emptyset$ .

To prove the second part, we need a few terminology that were defined in [16].

First we consider the case where  $\mathbb{F}^2$  is an orientable surface. Then the genus of  $\mathbb{F}^2$  is g, where  $g = (2 - \chi)/2$ . Let  $\Gamma(\mathbb{F}^2) = \{a_1, \ldots, a_g, b_1 \ldots, b_g\}$  be a set of simple closed curves on  $\mathbb{F}^2$  such that (i)  $\{a_1, \ldots, a_g\}$  is a set of g pairwise non-homotopic disjoint essential simple closed curves, (ii)  $\{b_1, \ldots, b_g\}$  is a set of g pairwise disjoint simple closed curves such that each  $b_i$  crosses  $a_i$  exactly once and never crosses  $a_j$  for  $1 \leq j \leq g$  with  $j \neq i$ . Let G be a 3-connected graph on a closed surface  $\mathbb{F}^2$ . Suppose that G has two sets of pairwise disjoint cycles  $\{A_1, A'_1, \ldots, A_g, A'_g\}$  and  $\{B_1, B'_1, \ldots, B_g, B'_g\}$  such that (i)  $A_i$  and  $A'_i$  are homotopic to  $a_i \in \Gamma(\mathbb{F}^2)$ , (ii)  $B_j$  and  $B'_j$  are homotopic to  $b_j \in \Gamma(\mathbb{F}^2)$ , and (iii) each of  $A_i$  and  $A'_i$  is disjoint from  $B_j$  and  $B'_j$  if  $j \neq i$ .

Let  $\mathcal{A}_i$  denote the annulus bounded by  $A_i$  and  $A'_i$ . We suppose further that G has pairwise disjoint paths  $C_1, C'_1, \ldots, C_{g-1}, C'_{g-1}$  such that (iv) each of  $C_i$  and  $C'_i$  connects  $A'_i$  and  $A'_{i+1}$ , and runs across both of the annuli  $\mathcal{A}_i$  and  $\mathcal{A}_{i+1}$ , namely,  $C_i$  and  $C'_i$  intersect every closed curve in  $\mathcal{A}_i$  (resp.,  $\mathcal{A}_{i+1}$ ) homotopic to  $a_i$  (resp.,  $a_{i+1}$ ), and (v)  $C_i$  and  $C'_i$  together with a segment of  $A'_i$  and a segment of  $A'_{i+1}$ bound a strip (a thin 2-cell region) that intersects none of  $A_1, A'_1, \ldots, A_g, A'_g, B_1, B'_1, \ldots, B_g$  and  $B'_g$ except for  $A_i, A'_i, A_{i+1}$  and  $A'_{i+1}$ .

We say that G satisfies Cutting Condition if G has the above 4g cycles and 2g - 2 paths. Note that the argument in Lemma 14 works well even if a closed curve  $b_1$  is 1-sided. In this case, we have to take a single cycle separating a thin Möbius band including  $b_1$  and disjoint from all  $A_j, A'_j, B_j$  and  $B'_j$  for  $2 \le j \le g$ , instead of the annulus bounded by  $B_1$  and  $B'_1$ . In the proof of Lemma 14, we only deal with the case where  $\mathbb{F}^2$  is orientable, since the non-orientable case is similar to it.

#### Proof of Lemma 14.

The first part of Lemma 14 follows from the proof in [16], but to show the second part, we give an overview of the proof in [16].

By a result in [24], there exists a positive integer  $r = r(\mathbb{F}^2)$  such that if G is a 3-connected graph on  $\mathbb{F}^2$  with representativity at least r, then G satisfies Cutting Condition.

Consider an annulus  $\mathcal{A}_k$  bounded by two cycles  $A_k$  and  $A'_k$  of G for  $1 \leq k \leq g$ . By choosing  $A_k$ and  $A'_k$  so that  $\mathcal{A}_k$  contains as few faces as possible, we can show that  $\mathcal{A}_k$  has no inner vertex. Let G'be the graph obtained from G by removing all inner edges of  $\mathcal{A}_k$  together with the region  $\mathcal{A}_k$  for all kwith  $1 \leq k \leq g$ . Then G' is a spanning plane subgraph of G that is embedded in the sphere with 2gboundary components  $A_1, A'_1, \ldots, A_g, A'_g$ . Then we will cut G' by  $B_1, B'_1, \ldots, B_g, B'_g, C_1, C'_1, \ldots, C_{g-1}$ and  $C'_{g-1}$ .

Let  $\widetilde{\mathcal{B}}_k$  be the annulus bounded by  $B_k$  and  $B'_k$ . Take a subpath  $Q_1$  of  $B_k$  and a subpath  $Q_2$  of  $B'_k$ both joining  $A_k$  and  $A'_k$  in  $G_0$ . Consider the strip  $\mathcal{B}_k$  contained in  $\widetilde{\mathcal{B}}_k - (\widetilde{\mathcal{B}}_k \cap \mathcal{A}_k)$  and bounded by  $A_k \cup A'_k \cup Q_1 \cup Q_2$ . Let x, y, x' and y' be the four corners of the strip  $\mathcal{B}_k$  such that  $x \in V(A_k) \cap V(Q_1)$ ,  $y \in V(A_k) \cap V(Q_2), x' \in V(A'_k) \cap V(Q_1)$ , and  $y' \in V(A'_k) \cap V(Q_2)$ . Let H be the plane graph consisting of the vertices and the edges contained in  $\mathcal{B}_k$ . Then there exists four paths  $P_1, P_2, Q_1$  and  $Q_2$  such that  $P_1 \subset A_k, P_2 \subset A'_k, Q_1 \subset B_k, Q_2 \subset B'_k$  and  $P_1 \cup P_2 \cup Q_1 \cup Q_2$  bounds H. Choosing  $B_j$  and  $B'_j$ so that the number of faces in  $\mathcal{B}_j$  is as small as possible, we can add the edges xy and x'y' through inside of H, and the region  $\mathcal{B}'_j$  bounded by  $Q_1 \cup Q_2 \cup \{xy, x'y'\}$  contains no inner vertex. Let D and D' be the plane subgraph of  $H \cup \{xy, x'y'\}$  bounded by  $P_1 \cup \{xy\}$  and by  $P_2 \cup \{x'y'\}$ , respectively. Then both D - y and D - y' is a chain of blocks, which may consists of only one vertex. Now remove all inner edges of  $\mathcal{B}'_k$  together with all faces in  $\mathcal{B}'_k$ , all edges connecting y and D - y, and all edges connecting y' and D' - y.

After doing the same operation as above for all pairs of  $C_k$  and  $C'_k$ , in total, we get a circuit graph  $(G_0, C_0)$  and 4g - 2 chains of blocks  $\mathcal{D}^1, \dots, \mathcal{D}^{4g-2}$  by identifying a vertex  $v_i$  in  $C_0$  and a vertex  $p_i$  of  $\mathcal{D}^i$  for  $1 \leq i \leq 4g - 2$ . (Notice that each  $\mathcal{D}^i$  corresponds to D - y or D' - y' for some pair  $B_k$  and  $B'_k$  or some pair  $C_k$  and  $C'_k$  as above. Identifying the two vertices  $v_i$  and  $p_i$ , we obtain the corresponding vertex x.) If  $\mathcal{D}^i$  consists of only one vertex, (that is, if D - y or D' - y consists of only x,) then we can delete it. Thus, we obtain t chains of blocks with  $t \leq 4g - 2 = -2\chi + 2$ , and hence the first part holds.

Now we will prove the second part. Suppose  $t \geq 1$  and let  $1 \leq i \leq t$ . We may assume that  $\mathcal{D}^i \neq \emptyset$  and  $\mathcal{D}^i = D - y$  for D in the above argument. We use the same terminology as above. Let  $\mathcal{D}^i = B_0^i B_1^i \cdots B_{m_i}^i$  and  $x = p_i \in I_{\mathcal{D}^i}(B_0^i)$ . Since G is 3-connected, for  $0 \leq j \leq m_i$  with  $I_{\mathcal{D}^i}(B_j^i) \neq \emptyset$ , the set  $I_{\mathcal{D}^i}(B_j^i)$  has a neighbor  $u_j^i$  in G with  $u_j^i \notin V(D)$ . Moreover, we may assume that  $u_0^i \neq p_i$  and  $u_{m_i}^i \neq y$ . By the construction,  $u_0^i, u_1^i, \cdots$  appear in  $A_k^i$  in this order. (Possibly,  $u_j^i = u_{j+1}^i$  for some j.) If  $u_0^i \neq u_{m_i}^i$ , then  $A_k^i \cup (\mathcal{D}^i - p_i)$  induces a 2-connected graph. So we can add  $\mathcal{D}^i - p_i$  into  $A_k^i$  and decrease the integer t. Thus,  $u_0^i = u_1^i = \cdots = u_{m_i}^i$ . Let  $u_i = u_0^i$ . Then  $u_i$  is a neighbor of  $I_{\mathcal{D}^i}(B_j^i)$  in G for  $0 \leq j \leq m_i$  with  $I_{\mathcal{D}^i}(B_j^i) \neq \emptyset$ .  $\Box$ 

**Proof of Theorem 3.** By Lemma 14, there exists an integer r such that if G is a 3-connected graph on  $\mathbb{F}^2$  with representativity at least r, then G has a spanning subgraph as in Lemma 14. Since  $(G_0, C_0)$  is a circuit graph, it follows from Theorem 5 that  $2G_0$  has a spanning even subgraph  $R_0$  with

$$|E(R_0)| \le \frac{4|V(G_0)| - 4}{3}.$$
(2)

Let  $1 \leq i \leq t$  and let  $G_i$  be the subgraph induced by  $\{u_i\} \cup \bigcup_{j=0}^{m_i} V(B_j^i) - \{p_i\}$  and let  $C_i$  be the outer boundary of  $G_i$ . Note that  $(G_i, C_i)$  is a circuit graph, unless  $u_i$  has degree one. The exceptional case happens only when (i)  $m_i = 0$ , or (ii)  $m_i = 1$  and  $B_0^i$  is an edge. Moreover, when  $(G_i, C_i)$  is not a circuit graph, then  $(G_i^*, C_i^*)$  is a circuit graph, where  $G_i^* = G_i - u_i$  and  $C_i^* = C_i - u_i$ , unless  $G_i^*$  has at most two vertices.

If  $(G_i, C_i)$  is a circuit graph, then it follows from Theorem 5 or Proposition 6 that  $2G_i$  has a spanning even subgraph  $R_i$  with  $|E(R_i)| \leq \frac{4|V(G_i)|-3}{3}$ . On the other hand, suppose that (i) or (ii) holds. If  $(G_i^*, C_i^*)$  is a circuit graph, then it follows from Theorem 5 or Proposition 6 that  $2G_i^*$  has a spanning even subgraph  $R_i^*$  with  $|E(R_i^*)| \leq \frac{4|V(G_i^*)|-3}{3}$ . Let  $R_i$  be the subgraph of  $2G_i$  obtained from  $R_i^*$  by adding the two edges in  $2G_i$  incident with  $u_i$ . Then  $|E(R_i)| \leq \frac{4|V(G_i^*)|-3}{3} + 2 = \frac{4|V(G_i)|-1}{3}$ . If  $G_i^*$  is isomorphic to  $K_2$ , then the two vertices of  $G_i^*$  and  $p_i$  (when (i) occurs) or  $u_i$  (when (ii) occurs) form a triangle. In these cases, the triangle, say  $R_i$ , is a spanning even subgraph of  $2G_i$  with  $|E(R_i)| = 3 = \frac{4|V(G_i)|-3}{3}$ . Finally if  $G_i^*$  consists of only one vertex, then let  $R_i$  is the spanning even subgraph consisting of the two edges e and e' of  $2G_i$  such that both e and e' correspond to an edge connecting the unique vertex in  $G_i^*$  and  $u_i$ . Then  $|E(R_i)| = 2 = \frac{4|V(G_i)|-2}{3}$ . In either case, we obtain a spanning even subgraph  $R_i$  of  $2G_i$  with

$$|E(R_i)| \le \frac{4|V(G_i)| - 1}{3}.$$
(3)

Let  $R = \bigcup_{i=0}^{t} R_i$ . Since  $G_i$  shares only one vertex with  $G_0$  for  $1 \le i \le t$ , we have

$$|V(G)| = \sum_{i=0}^{t} |V(G_i)| - t.$$

Then R is a spanning even subgraph of 2G. Moreover, by inequalities (2) and (3),

$$\begin{split} E(R)| &= \sum_{i=0}^{t} |E(R_i)| \\ &\leq \frac{4|V(G_0)| - 4}{3} + \sum_{i=1}^{t} \frac{4|V(G_i)| - 1}{3} \\ &= \frac{4\sum_{i=0}^{t} |V(G_i)| - t - 4}{3} \\ &= \frac{4(|V(G)| + t) - t - 4}{3} \\ &= \frac{4|V(G)| + 3t - 4}{3} \\ &\leq \frac{4|V(G)| + 3(-2\chi + 2) - 4}{3} \\ &= \frac{4|V(G)| - 6\chi + 2}{3}. \end{split}$$

By Proposition 4, this discussion completes the proof of Theorem 3.  $\Box$